

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert E. Wilson

Serial No.: 10/666,319

Examiner: Chiem, Dinh D.

Filing Date: September 19, 2003

Group Art Unit: 2883

Title: ALIGNMENT POST FOR OPTICAL SUBASSEMBLIES MADE WITH CYLINDRICAL RODS, TUBES, SPHERES OR SIMILAR FEATURES

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).
(Within three months of the filing date of the national application or date of entry of the national stage, before the mailing of the first Office Action on the merits, including a first Office Action following an RCE whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with **either** a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before the mailing date of the Final Action, Notice of Allowance, or action closing prosecution).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
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\$180.00 fee as set forth in 37 CFR 1.17(p).
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☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement, or
☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☐ FEE AUTHORIZATION

Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

☒ I hereby certify that this paper is being electronically transmitted to the Commissioner for Patents on the date shown below.

Date of Transmission: 08/28/2007

Typed Name:

Signature:

Kathy Monk
Kathy Monk

Respectfully submitted,
Robert E. Wilson

By

Scott Weitzel

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Reg. No. 54,534

Date: 08/28/2007

Telephone No. (970) 288-0747

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known	
Application Number	10/666,319
Filing Date	September 19, 2003
First Named Inventor	Robert E. Wilson
Art Unit	2883
Examiner Name	Chiem, Dinh D.

Sheet

1

of

3

Attorney Docket No: 10030442-01

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Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language translation is attached.

Substitute for form 1449A/PTO				Complete if Known Application Number 10/666,319 Filing Date September 19, 2003 First Named Inventor Robert E. Wilson Art Unit 2883 Examiner Name Chiem, Dinh D.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					
Sheet	2	of	3	Attorney Docket No: 10030442-01	

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Sheet	3	of	3	Attorney Docket No: 10030442-01	

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